

1011/00
U.S. PTO

01-13-00 A

Please type a plus sign (+) inside this box [+]

PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No 002114.P006

Pages 5

First Named Inventor or Application Identifier Michael Glover

Express Mail Label No. EL431888729US

JG662 U.S. PTO
09/481053
01/11/00

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D. C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. Specification (Total Pages 27)
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
3. Drawings(s) (35 USC 113) (Total Sheets 6)
4. Oath or Declaration (Total Pages)
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed) (**Note Box 5 below**)
 - i. DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Copy
 - b. Paper Copy (identical to computer copy)
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. _____ Assignment Papers (cover sheet & documents(s))
9. _____ a. 37 CFR 3.73(b) Statement (where there is an assignee)

b. Power of Attorney
10. _____ English Translation Document (if applicable)
11. _____ a. Information Disclosure Statement (IDS)/PTO-1449

b. Copies of IDS Citations
12. _____ Preliminary Amendment
13. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
14. _____ a. Small Entity Statement(s)

b. Statement filed in prior application, Status still proper and desired
15. _____ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Other: Copy of postcard with Express Mail Certificate of Mailing

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP)

of prior application No: _____

18. Correspondence Address

Customer Number or Bar Code Label (Insert Customer No. or Attach Bar Code Label here)

or

Correspondence Address Below

NAME Sheryl Sue Holloway Reg No. 37,850

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard

Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-9397

EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number:

Date of Deposit: 11/11/2000

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

(Date signed)

Serial/Patent No.: **** Filing/Issue Date: 1/11/2000
Client: Network Associates, Inc.
Title: FAST VIRUS SCANNING

BSTZ File No.: 002114_P006

Date Mailed: 1/11/2000

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- Amendment/Response (____ pgs.)
- Appeal Brief (____ pgs.) (in triplicate)
- Application - Utility 27 pgs., with cover and abstract
- Application - Rule 1.53(b) Continuation (____ pgs.)
- Application - Rule 1.53(b) Divisional (____ pgs.)
- Application - Rule 1.53(b) CIP (____ pgs.)
- Application - Rule 1.53(d) CPA Transmittal (____ pgs.)
- Application - Design (____ pgs.)
- Application - PCT (____ pgs.)
- Application - Provisional (____ pgs.)
- Assignment and Cover Sheet
- Certificate of Mailing **Express Mail**
- Declaration & POA (____ pgs.)
- Disclosure Docs & Org & Copy of Invention Signed Letter (____ pgs.)
- Drawings: 6 # of sheets includes 7 figures

- Atty/Secty Initials: SSH/dkr
- Docket Due Date: ****
- Express Mail No.: EL431888729US Check No. 32743
- Month(s) Extension of Time Amt: \$1,008.00
- Information Disclosure Statement & PTO-149 (____ pgs.) Check No. _____
- Issue Fee Transmittal Amt: _____
- Notice of Appeal
- Petition for Extension of Time
- Petition for _____
- Postcard
- Power of Attorney (____ pgs.)
- Preliminary Amendment (____ pgs.)
- Reply Brief (____ pgs.)
- Response to Notice of Missing Parts
- Small Entity Declaration for Indep. Inventor/Small Business
- Transmittal Letter, in duplicate **(Utility)**
- Fee Transmittal, in duplicate

Other: _____

002114.P006

PATENT

UNITED STATES PATENT APPLICATION

for

FAST VIRUS SCANNING

Applicants:

Michael Glover

prepared by:

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
12400 Wilshire Boulevard
Los Angeles, CA 90026-1026
(408) 720-8598

EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number E1431888729US
Date of Deposit 1/1/2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Daniel Glover
(Typed or printed name of person mailing paper or fee)

Daniel Glover
(Signature of person mailing paper or fee)

FAST VIRUS SCANNING

FIELD OF THE INVENTION

This invention relates generally to computer virus detection, and more particularly to
5 virus scanning.

RELATED APPLICATION

The present application is related to application Serial No. _____, titled “Fast
Virus Scanning using Session Stamping (attorney docket number 003631.P007), assigned to
10 the same assignee as the present application and filed on the same day.

COPYRIGHT NOTICE/PERMISSION

A portion of the disclosure of this patent document contains material which is subject
to copyright protection. The copyright owner has no objection to the facsimile reproduction by
15 anyone of the patent document or the patent disclosure as it appears in the Patent and
Trademark Office patent file or records, but otherwise reserves all copyright rights
whatsoever. The following notice applies to the software and data as described below and in
the drawings hereto: Copyright © 1999, Network Associates, Inc., All Rights Reserved.

20 BACKGROUND OF THE INVENTION

Anti-virus (AV) programs are, by design, fairly intrusive applications. They must sit
between a user – or the user’s applications – and the computer’s file system, to ensure that

infected files are not written to the file system. If infected files already reside on the file system, the AV program must ensure that they are not executed or copied to other computers.

AV programs scan computer files for known viruses by comparing each file to a list of "virus signatures" that are stored in "virus signature files." The scanning can be done upon

- 5 request of a user, when the file is accessed on a mass storage device such as by an application, or on a scheduled basis. Virus scanning is, therefore, a resource intensive (CPU and disk I/O) and time-consuming task, especially in the case of access scanning. Oftentimes, a user's file-open request must be delayed until the file can be scanned and possibly cleaned. This resource consumption can lead to a degradation of a computer's overall performance and slower
- 10 response times for users.

Various techniques are currently used to reduce the amount of time and computer resources required by AV scanning. The techniques share the concept of saving set of parameters, an AV "state," for the file as of the last scan so that once a file has been scanned and found free of infection, it should not need to be scanned again unless the file is modified.

- 15 The parameters chosen for the AV state are indicative of virus infection if changed, such as the file's length, checksum, and date of last file write operation.

- One common technique is to create an in-memory or on-disk cache containing the AV state for files that have been scanned during recent executions of the AV program. The cache is checked whenever a file is accessed or when a scheduled scan is due. If the file's AV state is
- 20 in the cache, the AV state parameters for the file in the scan information cache are checked against the current parameters of the file. If the parameters match, a virus scan is not

necessary. If the parameters do not match, or if the AV state for the file is not cached, then the file is scanned and the cache information updated. The drawback to this approach is that such caches are limited to a reasonable memory size and cannot efficiently track all the files (potentially millions) that may reside on a file server, or even the smaller number that reside on

5 individual stand-alone personal computers. Because the cache is volatile, the AV state for only the most recently used files will be present in the cache.

Another approach stores the AV state (often just a checksum) in an external database that is then compared against the current values of the AV state parameters when the file is accessed. This technique is only effective if the AV state information is thoroughly secure

10 against unauthorized changes. The user or administrator also faces the challenges inherent in maintaining the external database. Additionally, the database technique requires that the AV state is accessed separately from the file itself, thus incurring system overhead. The total processing cost of generating the AV state, storing it in the external database and retrieving it when needed can exceed the cost of scanning the file.

15 An alternate technique that is similar to the external database approach addresses the cost of accessing the AV state separately from the file itself by appending the AV state (again frequently just a checksum) to the end of the file. However, this scheme is less secure than the others in that a sophisticated virus can overwrite the checksum with the value for the infected file. Additionally, since the AV program modifies the file, errors in the program may cause

20 loss of user data. Additionally, since the AV program modifies the file, errors in the program may cause loss of user data. Moreover, the addition of information to a file can cause various

system utilities to assume the file is bad, causing the original version of the file to be reloaded, or it may be viewed as virus-like behavior, triggering a false alarm. This technique is also disfavored by users and systems administrators who are reluctant to give a third-party the right to modify their files.

5 Therefore, the current techniques used by AV programs provide only limited savings of user time and system resources when scanning files, while often introducing other risks and complications as a result.

SUMMARY OF THE INVENTION

10 The above-mentioned shortcomings, disadvantages and problems are addressed by the present invention, which will be understood by reading and studying the following specification.

Anti-virus (AV) state information for a file on a computer is stored within an associated file data structure managed by the file system of the computer. The AV state information is obtained from the data structure when the data structure has been retrieved by the file system as a result of the file being accessed. Because the data structure is automatically retrieved into memory by the file system, the invention incurs minimal resource overhead and provides fast retrieval of the AV state information. For file systems that have at least one reserved field in the directory entry for a file, the AV state information is stored into that field(s). An alternate aspect of the invention operates with file systems that maintain the data and resource

information for a file as separate entities (“forks”) by storing the AV state information in the resource fork or in a special AV state fork.

The present invention describes systems, clients, servers, methods, and computer-readable media of varying scope. In addition to the aspects and advantages of the present

5 invention described in this summary, further aspects and advantages of the invention will become apparent by reference to the drawings and by reading the detailed description that follows.

BRIEF DESCRIPTION OF THE DRAWINGS

10 FIG. 1 is a diagram of one embodiment of an operating environment suitable for practicing the present invention;

FIG. 2 is a diagram of one embodiment of a computer system suitable for use in the operating environment of FIG. 1;

15 FIG. 3A is a diagram illustrating a system-level overview of one embodiment of the invention;

FIG. 3B is a diagram illustrating system-level overview of an alternate embodiment of the invention;

FIG. 4 is a flowchart of a method to be performed by a computer according to an embodiment of the invention; and

20 FIGs. 5A-B are diagrams of data structures used by the methods of FIG. 4.

DETAILED DESCRIPTION OF THE INVENTION

In the following detailed description of embodiments of the invention, reference is made to the accompanying drawings in which like references indicate similar elements, and in which is shown by way of illustration specific embodiments in which the invention may be practiced. These embodiments are described in sufficient detail to enable those skilled in the art to practice the invention, and it is to be understood that other embodiments may be utilized and that logical, mechanical, electrical and other changes may be made without departing from the scope of the present invention. The following detailed description is, therefore, not to be taken in a limiting sense, and the scope of the present invention is defined only by the appended claims.

The detailed description is divided into three sections. In the first section, the hardware and the operating environment in conjunction with which embodiments of the invention may be practiced are described. In the second section, a system level overview of the invention is presented. In the third section, methods and data structures for embodiments of the invention are described.

Operating Environment

The following description of FIGs. 1 and 2 is intended to provide an overview of computer hardware and other operating components suitable for implementing the invention, but is not intended to limit the applicable environments. One of skill in the art will immediately appreciate that the invention can be practiced with other computer system configurations, including hand-held devices, multiprocessor systems, microprocessor-based or programmable

consumer electronics, network PCs, minicomputers, mainframe computers, and the like. The invention can also be practiced in distributed computing environments where tasks are performed by remote processing devices that are linked through a communications network.

FIG. 1 shows several computer systems 101 that are coupled together through a

5 network 103, such as a local-area network (LAN) or the Internet. The term "Internet" as used herein refers to a network of networks which uses certain protocols, such as the TCP/IP protocol, and possibly other protocols such as the hypertext transfer protocol (HTTP) for hypertext markup language (HTML) documents that make up the World Wide Web (web).

The physical connections of the Internet and the protocols and communication procedures of
10 the Internet are well known to those of skill in the art. Access to the Internet 103 is typically provided by Internet service providers (ISP), such as the ISPs 105 and 107. Users on client systems, such as client computer systems 121, 125, 135, and 137 obtain access to the Internet through the Internet service providers, such as ISPs 105 and 107. Access to the Internet allows users of the client computer systems to exchange information, receive and send e-mails,
15 and view documents, such as documents which have been prepared in the HTML format.

These documents are often provided by web servers, such as web server 109 which is considered to be "on" the Internet. Often these web servers are provided by the ISPs, such as ISP 105, although a computer system can be set up and connected to the Internet without that system being also an ISP as is well known in the art.

20 The web server 109 is typically at least one computer system which operates as a server computer system and is configured to operate with the protocols of the World Wide Web and

is coupled to the Internet. Optionally, the web server 109 can be part of an ISP which provides access to the Internet for client systems. The web server 109 is shown coupled to the server computer system 111 which itself is coupled to web content 110, which can be considered a form of a media database. It will be appreciated that while two computer systems 5 109 and 111 are shown in FIG. 1, the web server system 109 and the server computer system 111 can be one computer system having different software components providing the web server functionality and the server functionality provided by the server computer system 111.

Client computer systems 121, 125, 135, and 137 can each, with the appropriate web browsing software, view HTML pages provided by the web server 109. The ISP 105 provides 10 Internet connectivity to the client computer system 121 through the modem interface 123 which can be considered part of the client computer system 121. The client computer system can be a personal computer or other similar computer system. Similarly, the ISP 107 provides Internet connectivity for client systems 125, 135, and 137, although as shown in FIG. 1, the connections are not the same for these three computer systems. Client computer system 125 is 15 coupled through a modem interface 127 while client computer systems 135 and 137 are part of a LAN. While FIG. 1 shows the interfaces 123 and 127 generically as modems, it will be appreciated that each of these interfaces can be an analog modem, ISDN modem, cable modem, satellite transmission interface, or other interfaces for coupling a computer system to other computer systems. Client computer systems 135 and 137 are coupled to a LAN bus 133 20 through network interfaces 139 and 141, which can be Ethernet network or other network interfaces. The LAN bus 133 is also coupled to a gateway computer system 131 which can

provide firewall and other Internet related services for the local area network. This gateway computer system 131 is coupled to the ISP 107 to provide Internet connectivity to the client computer systems 135 and 137. The gateway computer system 131 can be a conventional server computer system. Also, the web server system 109 can be a conventional server

5 computer system.

Alternatively, as well-known, a server computer system 143 can be directly coupled to the LAN bus 133 through a network interface 145 to provide files 147 and other services to the clients 135, 137, without the need to connect to the Internet through the gateway system 131.

10 FIG. 2 shows one example of a conventional computer system that can be used as a client computer system or a server computer system or as a web server system. It will also be appreciated that such a computer system can be used to perform many of the functions of an Internet service provider, such as ISP 105. The computer system 201 interfaces to external systems through the modem or network interface 203. It will be appreciated that the modem 15 or network interface 203 can be considered to be part of the computer system 201. This interface 203 can be an analog modem, ISDN modem, cable modem, token ring interface, satellite transmission interface (e.g. "Direct PC"), or other interfaces for coupling a computer system to other computer systems. The computer system 201 includes a processor 205, which can be a conventional microprocessor such as an Intel Pentium microprocessor or Motorola 20 Power PC microprocessor. Memory 209 is coupled to the processor 205 by a bus 207. Memory 209 can be dynamic random access memory (DRAM) and can also include static

RAM (SRAM). The bus 207 couples the processor 205 to the memory 209 and also to non-volatile storage 215 and to display controller 211 and to the input/output (I/O) controller 217. The display controller 211 controls in the conventional manner a display on a display device 213 which can be a cathode ray tube (CRT) or liquid crystal display. The input/output devices 5 219 can include a keyboard, disk drives, printers, a scanner, and other input and output devices, including a mouse or other pointing device. The display controller 211 and the I/O controller 217 can be implemented with conventional well known technology. A digital image input device 221 can be a digital camera which is coupled to an I/O controller 217 in order to allow images from the digital camera to be input into the computer system 201. The non-volatile storage 215 is often a magnetic hard disk, an optical disk, or another form of storage 10 for large amounts of data. Some of this data is often written, by a direct memory access process, into memory 209 during execution of software in the computer system 201. One of skill in the art will immediately recognize that the term "computer-readable medium" includes any type of storage device that is accessible by the processor 205.

15 It will be appreciated that the computer system 201 is one example of many possible computer systems which have different architectures. For example, personal computers often have multiple buses, one of which can be considered to be a peripheral bus. A typical computer system will usually include at least a processor, memory, and a bus coupling the memory to the processor.

20 It will also be appreciated that the computer system 201 is controlled by operating system software which includes a file management system, such as a disk operating system,

which is part of the operating system software. One example of an operating system software with its associated file management system software is the Windows family of operating systems from Microsoft Corporation of Redmond, Washington, including the workstation and server versions. Another example is the Macintosh operating system from Apple Computer, Inc. of Cupertino, California. The file management system is typically stored in the non-volatile storage 215 and causes the processor 205 to execute the various acts required by the operating system to input and output data and to store data in memory, including storing files on the non-volatile storage 215.

10 [System Level Overview](#)

A system level overview of the operation of an embodiment of the invention as incorporated into an anti-virus software program is described by reference to FIGs. 3A and 3B in which actions taken by the anti-virus software are illustrated by numbered arrows. The anti-virus software program 305 executes on a computer, such as a server 111 in FIG. 1, or a 15 individual client computer, such as client 121, 125, 135, 137. A file system, executing as part of the operating system as described previously in conjunction with FIG. 2, controls access to the files stored on non-volatile storage, such as storage 215 in FIG. 2.

In the embodiment illustrated in FIG. 3A, the file system maintains an entry data structure 309 in a directory for each file 307. The entry data structure 309 holds information about the corresponding file 307, such as file type, file identifier, creation date, etc., in standard fields 311. The entry data structure 309 also often includes reserved fields 313 that are unused in the current version of the file system. Because the reserved fields 313 are normally reserved

for future use by the developer of the operating system, it will be appreciated that the use of such reserved fields by the developer of the operating system to store AV state information is as equally within the scope of the invention as the similar use by a third-party developer of an anti-virus program.

5 When the file 307 is created by the file system, the anti-virus program 305 scans 1 the file for known viruses. The anti-virus program 305 stores 2 AV state information 315 in the reserved fields 313 in the entry data structure 309 for the file 307. The AV state information 315 also can be encrypted by the anti-virus program to protect it from malicious modification.

The AV state information 315 includes parameters that are indicative of virus infection
10 if changed from a previous scan, such as size, checksum, and last-modified data stamp. The AV state information 315 can optionally include an indicator as to whether the file is infected. As illustrated in FIG. 3A, when the reserved fields 313 used to hold the AV state information are not contiguous, the AV state information 315 is partitioned into segments corresponding to the sizes of the reserved fields 313. It will be appreciated that each segment can contain more
15 than one AV state parameter and that a single AV state parameter can span reserved field. Alternatively, if the AV state information 315 is larger than the space available in reserved fields 313, the anti-virus program 305 can create additional fields within the directory entry data structure 309 when it is installed on the computer using conventional “patching” techniques.

20 When the file 307 is next accessed, the entry data structure 309 is automatically read into memory by the file system, if it is not already cached. The anti-virus program 305 obtains

3 the AV state information 315 from the reserved fields 313 (decrypting it, if necessary) and
compares the saved AV state information with the corresponding information currently
associated with the file. If the current information is different from that stored in the AV state
information 315, the file 307 is re-scanned 4 and the AV state information 315 is updated 2
5 with the results. Furthermore, access to the file 307 may be limited or refused by the operating
system if the AV state information 315 indicates the file is infected.

Because the AV state information is stored as part of the directory entry data structure
for a file, it is immediately available as a result of the file system operation when the file is
accessed. No additional retrieval operation is required, as when the AV state information is
10 stored in an external database. Furthermore, because the directory entry data structure is
cached in system memory, the AV state is more quickly accessed than when it is stored in a
user-controlled cache.

An alternate embodiment of the invention shown in FIG. 3B can be used with file
systems that maintain the data and resource information for a file 323 in separate “fork” data
structures, such as the file system associated with the Macintosh operating system. The anti-
virus program 321 scans 1 the file 323 and stores 2 the AV state information 329 in the
resource fork 327. When the file 323 later accessed, the anti-virus program 321 reads 3 the
AV state information 329 from the resource fork 327 to determine if the file 323 needs to be
re-scanned 4. It will be appreciated that the resource fork 327 illustrated in FIG. 3B can be the
15 standard resource fork data structure that has been modified to hold the AV state information
329 by the anti-virus program, or it can be an extra file fork data structure created to hold the
20 AV state information 329.

AV state information 329 in a file system that supports special application-defined forks. It will further be appreciated that the extra file fork data structure is not limited to the arrangement of fields illustrated for the resource fork 327 in FIG. 5B, but can be organized in any format supported by the underlying file system. Again, the AV information can be

5 encrypted before being stored as an extra layer of security.

Because the file system manages access to the standard resource fork data structure, no additional file operation is necessary to store or retrieve the AV state for the file beyond than normally required to access the resource fork. When the AV state information stored in a special AV resource fork data structure, the AV resource fork can quickly be retrieved when

10 the file system retrieves the data and/or the standard resource fork. Furthermore, because the resource fork data structures are managed as part of the file by the file system, the application merely requests that the AV state be stored and does not have be concerned with managing an external AV state data structure.

The system level overview of the operation of an embodiment of the invention has been

15 described in this section of the detailed description. AV state information for a file is stored within an associated data structure that is created and/or maintained by the file system of the computer. Because the data structure holding the AV state information is automatically retrieved into memory by the file system when the file is accessed, the invention incurs minimal resource overhead and provides fast retrieval of the AV state information. While the invention

20 is not limited to practice with any file system data structure, for sake of clarity simplified directory entry data structure and file resource fork embodiments have been described.

Methods of Embodiments of the Invention

In the previous section, a system level overview of the operations of embodiments of the invention was described. In this section, the particular methods of the invention are

- 5 described in terms of computer software with reference to a series of flowcharts. The methods to be performed by a computer constitute computer programs made up of computer-executable instructions. Describing the methods by reference to a flowchart enables one skilled in the art to develop such programs including such instructions to carry out the methods on suitably configured computers (the processor of the computer executing the instructions from
- 10 computer-readable media). If written in a programming language conforming to a recognized standard, such instructions can be executed on a variety of hardware platforms and for interface to a variety of operating systems. In addition, the present invention is not described with reference to any particular programming language. It will be appreciated that a variety of programming languages may be used to implement the teachings of the invention as described
- 15 herein. Furthermore, it is common in the art to speak of software, in one form or another (e.g., program, process, procedure, application...), as taking an action or causing a result. Such expressions are merely a shorthand way of saying that execution of the software by a computer causes the processor of the computer to perform an action or a produce a result.

- 20 Referring to FIG. 4, the acts to be performed by a computer executing an anti-virus method 400 that incorporates the present invention are shown. It will be appreciated that the

6
2
0
2
1
1
4
P
0
0

method 400 is designed to operate with any of the embodiments described above in conjunction with FIGs. 3A and 3B.

When the anti-virus method 400 is initiated, it checks if a scheduled scan should be performed (block 401). Scheduled scans are typically carried out as a background task, or 5 overnight, on a pre-defined batch of files. Assuming for the moment that a scheduled scan is not due, the anti-virus program initiates two parallel tasks. One task monitors file system activity to detect when a file is created, accessed, or modified (block 403). When such activity occurs, the method 400 checks the associated file system data structure to determine if the AV state information exists (block 405). If it does, the AV state information is compared with the 10 current state of the file to determine if the file needs to be re-scanned (block 407). When either the AV state does not exist, or the AV state indicates that the file should be re-scanned, the file is scanned (block 409) and the associated file system data structure is created or updated with the resulting AV state information (block 411). Since this task is not a scheduled scan (block 413), the method 400 returns to block 403 to monitor further file system activity.

15 The second parallel task responds to user input received at block 415. If the user requests a scan of a particular file (block 417), the anti-virus method 400 proceeds to block 405 to execute the process described immediately above. If the user has requested the termination of the anti-virus program, the method 400 exits. All other user input, such as changes in AV program parameters, is processed at block 421.

When the anti-virus method 400 executes a schedule scan (block 401), it takes each file in the batch in turn (blocks 423, 427) and proceeds to block 405 to determine if the file needs to be scanned.

The particular method performed by computer executing of an embodiment of the
5 invention have been described. The anti-virus method has been shown by reference to a flowchart including all the acts from 401 until 427.

As described previously, the AV state information for a file can be stored in reserved fields in the directory entry for the file or in a separate fork. FIG. 5A illustrates an embodiment in which the AV state information is stored within two reserved fields of a
10 MacIntosh OS 8 HFS Plus catalog file entry. The MacIntosh OS 8 HFS Plus disk volume format is described in Apple Computer, Inc. *Technote 1150: HFS Plus Volume Format*, March 1999, available in electronic form as of the filing date of the present application at <http://developer.apple.com/technotes/tn/tn1150.html>, and which is incorporated herein by reference. FIG. 5B illustrates an alternate embodiment in which the AV state information is
15 stored in a resource fork for a MacIntosh file. The resource fork structure is described in Apple Computer, Inc., *INSIDE MACINTOSH: MORE MACINTOSH TOOLBOX* 1-3 – 1-41, 1-121 – 125 (1993), available in electronic form as of the filing date of the present application at <http://developer.apple.com/techpubs/mac/MoreToolbox/MoreToolbox-2.html>, and which is incorporated herein by reference.

20 Turning first to FIG. 5A, each file in the MacIntosh file system is associated with an entry data structure 500 in the catalog file. Fields 501-502 and 504-513 contain standard

information about a file such as identifier, creation and modification dates, etc. Fields 515 and 516 contains information about the sizes and locations of the file's data and resource forks, respectively. An embodiment of the AV state information that is eight bytes long and consists of three parameters--a two-byte checksum, a three-byte resource fork length, and a three-byte 5 data fork length--is stored in the reserved fields 503 and 514 of the catalog entry. The field 503 is used to store the first four bytes of the AV state information, i.e., the two-byte checksum, and the first two bytes of the resource fork length, while the reserved field 514 is used to store the second four bytes of the AV state information, i.e., the third byte of the resource fork length, and the three bytes of the data fork length. The anti-virus program performs the 10 functions necessary to divide and reconstruct the AV state information in fields 503 and 514.

FIG. 5B illustrates an alternate embodiment in which the AV state information is stored as a resource in a MacIntosh file resource fork data structure 530. The resource fork data structure 520 contains a resource header section 521, a resource data section 522, and a resource map section 523. The resource data section 522 contains an entry for each resource 15 and is located within the resource fork data structure 520 using the resource type list 527 and reference lists 528 in the resource map section 523. The resource map section also contains a file identifier 526 for the file corresponding to the resource fork. Each resource entry contains the data for the resource and the length of the data. As illustrated in FIG. 5B, field 524 contains the AV state information 525 and length of the AV state information 524 for the file 20 identified by the file identifier 526.

Although specific embodiments for the present have been illustrated and described herein, it will be appreciated by those of ordinary skill in the art that any arrangement which is calculated to achieve the same purpose may be substituted for the specific embodiments shown. This application is intended to cover any adaptations or variations of the present

5 invention. For example, those of ordinary skill within the art will appreciate that any arrangement of AV state information can be stored in the associated data structure.

The terminology used in this application with respect to operating systems is meant to include all operating systems that maintain file directories with at least one reserved field or that can be patched with additional fields. Furthermore, the terminology is meant to include all

10 operating system that maintain data and resource information for a file as separately-accessible entities. Therefore, it is manifestly intended that this invention be limited only by the following claims and equivalents thereof.

CLAIMS

What is claimed is:

- 1 1. A computerized method for fast virus scanning of a file comprising:
 - 2 storing anti-virus state information for the file in a data structure associated with
 - 3 the file and managed by a file system; and
 - 4 obtaining the anti-virus state information for the file from the data structure when
 - 5 the data structure has been retrieved by the file system.

- 1 2. The computerized method of claim 1, wherein the data structure is a directory entry for the file and the anti-virus state information is stored in a field in the directory entry.

- 1 3. The computerized method of claim 2, further comprising:
 - 2 partitioning the anti-virus state information into segments, each segment being
 - 3 equal in size to one of a plurality of fields in the directory entry.

- 1 4. The computerized method of claim 2, further comprising:
 - 2 creating at least one field in the directory entry.

- 1 5. The computerized method of claim 1, wherein the data structure is an extra file fork for the file.

- 1 6. The computerized method of claim 5, further comprising creating the extra file fork to hold the anti-virus state information.

1 7. The computerized method of claim 1, wherein the data structure is stored as a
2 resource within a resource fork for the file.

1 8. The computerized method of claim 1, further comprising:
2 encrypting the anti-virus state information before storing it in the data structure;
3 and
4 decrypting the anti-virus state information when it is obtained from the data
5 structure.

1 9. The computerized method of claim 1, further comprising:
2 comparing the anti-virus state information stored in the data structure against
3 corresponding information associated with a current version of the file to determine if
4 virus scanning is required; and
5 updating the anti-virus state information if the file is scanned as a result of the
6 comparison.

1 10. The computerized method of claim 1, wherein data structure is retrieved by the file
2 system as a result of the file being accessed by an application program.

1 11. The computerized method of claim 1, wherein data structure is retrieved by the file
2 system as a result of a user requesting the file be scanned.

1 12. The computerized method of claim 1, wherein data structure is retrieved by the file
2 system as a result of the file being in a pre-defined list of files scheduled for scanning.

1 13. A computer-readable medium having stored thereon executable instructions that
2 cause a computer to execute a virus scanning method on a file, the method comprising:
3 storing anti-virus state information for the file in a data structure associated with
4 the file and managed by a file system; and
5 obtaining the anti-virus state information for the file from the data structure when
6 the data structure has been retrieved by the file system.

1 14. The computer-readable medium of claim 13, further comprising:
2 encrypting the anti-virus state information before storing it in the data structure;
3 and
4 decrypting the anti-virus state information when it is obtained from the data
5 structure.

1 15. The computer-readable medium of claim 13, further comprising:
2 comparing the anti-virus state information stored in the data structure against
3 corresponding information associated with a current version of the file to determine if
4 virus scanning is required; and
5 updating the anti-virus state information if the file is scanned as a result of the
6 comparison.

1 16. The computer-readable medium of claim 13, wherein the data structure is a
2 directory entry for the file and the anti-virus state information is stored in a field in the
3 directory entry.

1 17. The computer-readable medium of claim 13, wherein the data structure is an extra
2 file fork for the file.

1 19. The computer-readable medium of claim 17, further comprising:
2 creating the extra file fork to hold the anti-virus state information.

1 20. A computer system comprising:
2 a processor coupled to a system bus;
3 a memory coupled to the processor through the system bus;
4 a computer-readable medium coupled to the processor through the system bus;
5 a file system executed from the computer readable medium by the processor,
6 wherein the file system causes the processor to store data structures associated with files
7 on the computer-readable medium and further to retrieve the data structures from the
8 computer-readable medium; and
9 an anti-virus process executed from the computer readable medium by the
10 processor, wherein the anti-virus process causes the processor to store anti-virus state
11 information for the file in the data structure associated with the file and further to obtain
12 the anti-virus state information for the file from the data structure when the data structure
13 has been retrieved.

1 21. The computer system of claim 20, wherein the anti-virus process further causes the
2 processor to encrypt the anti-virus state information before storing it in the data structure
3 and to decrypt the anti-virus state information when it is obtained from the data structure.

1 22. The computer system of claim 20, wherein the anti-virus process further causes the
2 processor to compare the anti-virus state information stored in the data structure against
3 corresponding information associated with a current version of the file to determine if
4 virus scanning is required and to update the anti-virus state information if the anti-virus
5 process causes the processor to scan the file as a result of the comparison.

1 23. The computer system of claim 20, wherein the data structure containing the anti-
2 virus state information is an entry in a file system directory and anti-virus process further
3 causes the processor to store the anti-virus state information in the entry and to obtain the
4 anti-virus state information from the entry.

1 24. The computer system of claim 20, wherein the data structure containing the anti-
2 virus state information is an extra file fork for the file and the anti-virus process further
3 causes the processor to store the anti-virus state information in the extra file fork and to
4 obtain the anti-virus state information from the extra file fork.

1 25. The computer system of claim 24, wherein the anti-virus process further causes the
2 processor to create the extra file fork to hold the anti-virus state information.

1 26. The computer system of claim 20, wherein the data structure containing the anti-
2 virus state information is stored as a resource in a resource fork for the file and the anti-
3 virus process further causes the processor to store the anti-virus state information in the
4 resource fork and to obtain the anti-virus state information from the resource fork.

1 27. A computer-readable medium having stored thereon a directory entry data
2 structure for a file system comprising:
3 a file identifier field containing data representing a file system identifier for a file;
4 and
5 a first reserved field containing data representing an anti-virus state for the file
6 identified by the file identifier field.

1 28. The computer-readable medium of claim 27, wherein the file comprises a data fork
2 and a resource fork, the first reserved field contains data representing a two-byte
3 checksum for the file and data representing two bytes of a three-byte length for the
4 resource fork, and further comprising:
5 a second reserved field containing data representing a third byte for the resource
6 fork length and data representing a three-byte length for the data fork.

1 29. A computer-readable medium having stored thereon a file fork data structure
2 associated with a file comprising:
3 a file identifier field containing data representing a file system identifier for the file;
4 and
5 a resource field containing data representing an anti-virus state of the file identified
6 by the file identifier field.

2025 RELEASE UNDER E.O. 14176

ABSTRACT OF THE DISCLOSURE

Anti-virus state information for a file is stored within an associated data structure that is created and/or maintained by the file system of the computer. The data structure can be a file directory entry with the anti-virus state information being stored in unused fields of the 5 entry. For file systems that maintain separate entities for the data and resource information of a file, the anti-virus state information can be stored in the resource entity or in a specifically created entity.

002114.P006

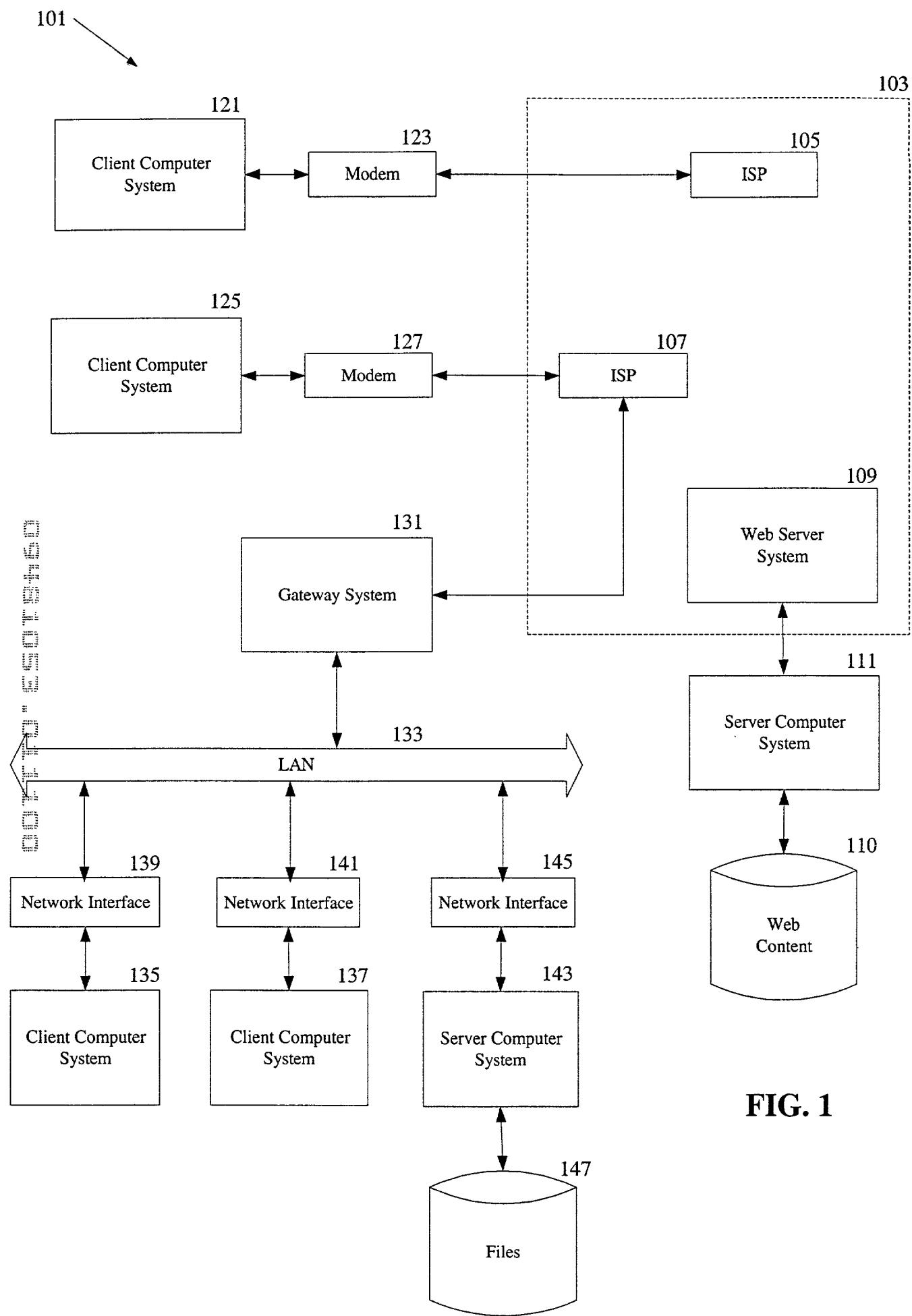


FIG. 1

201

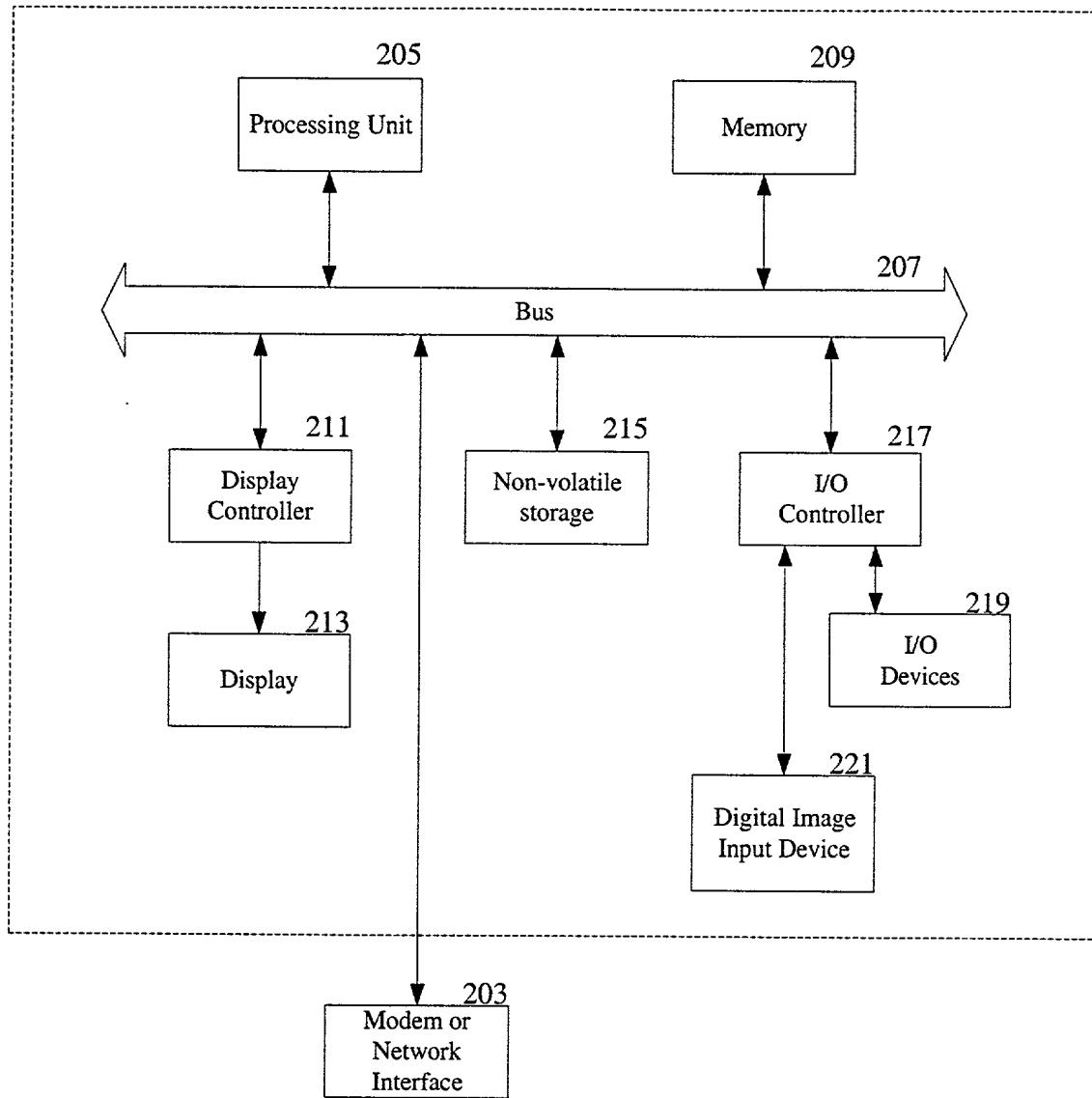


FIG. 2

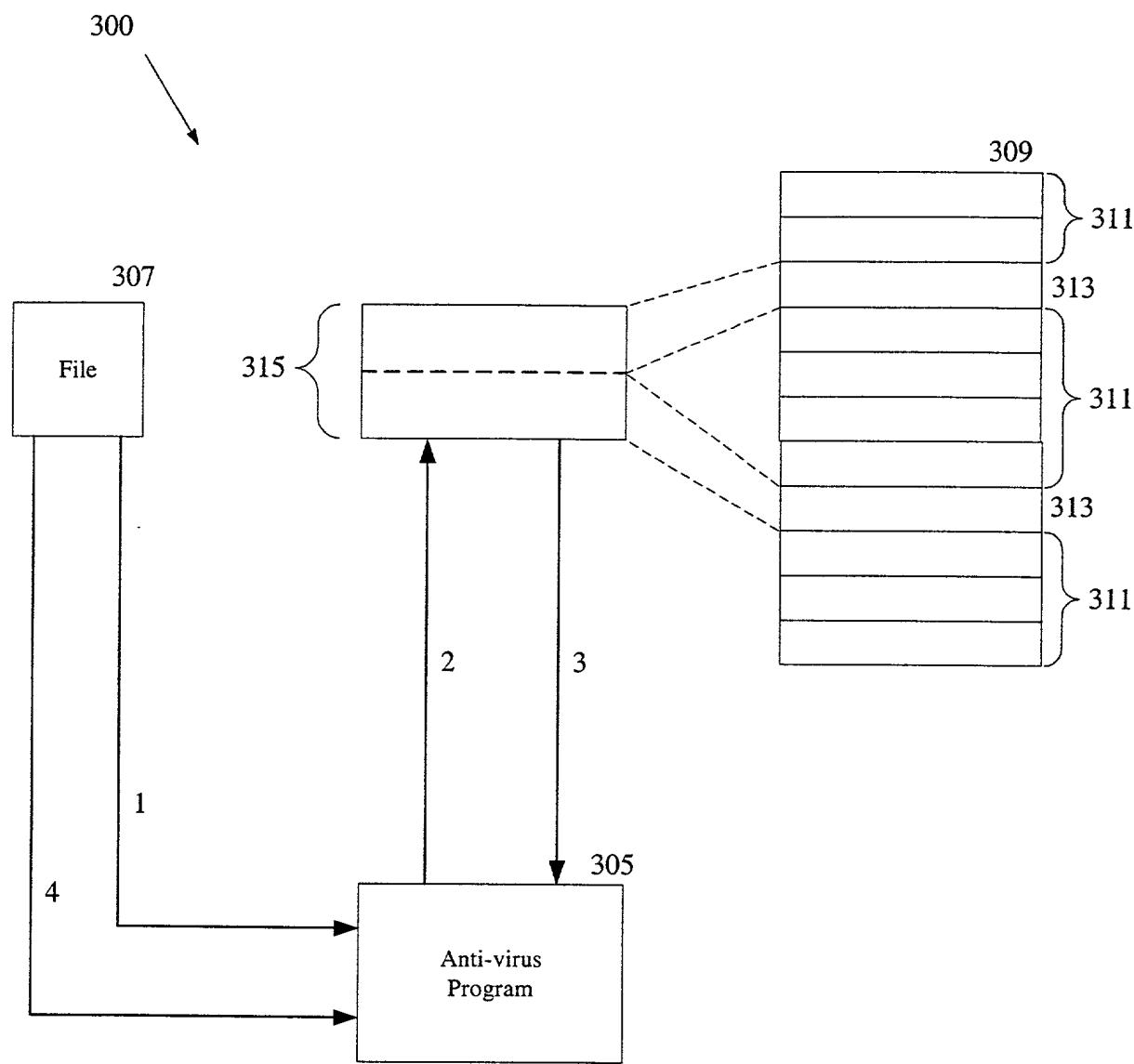


FIG. 3A

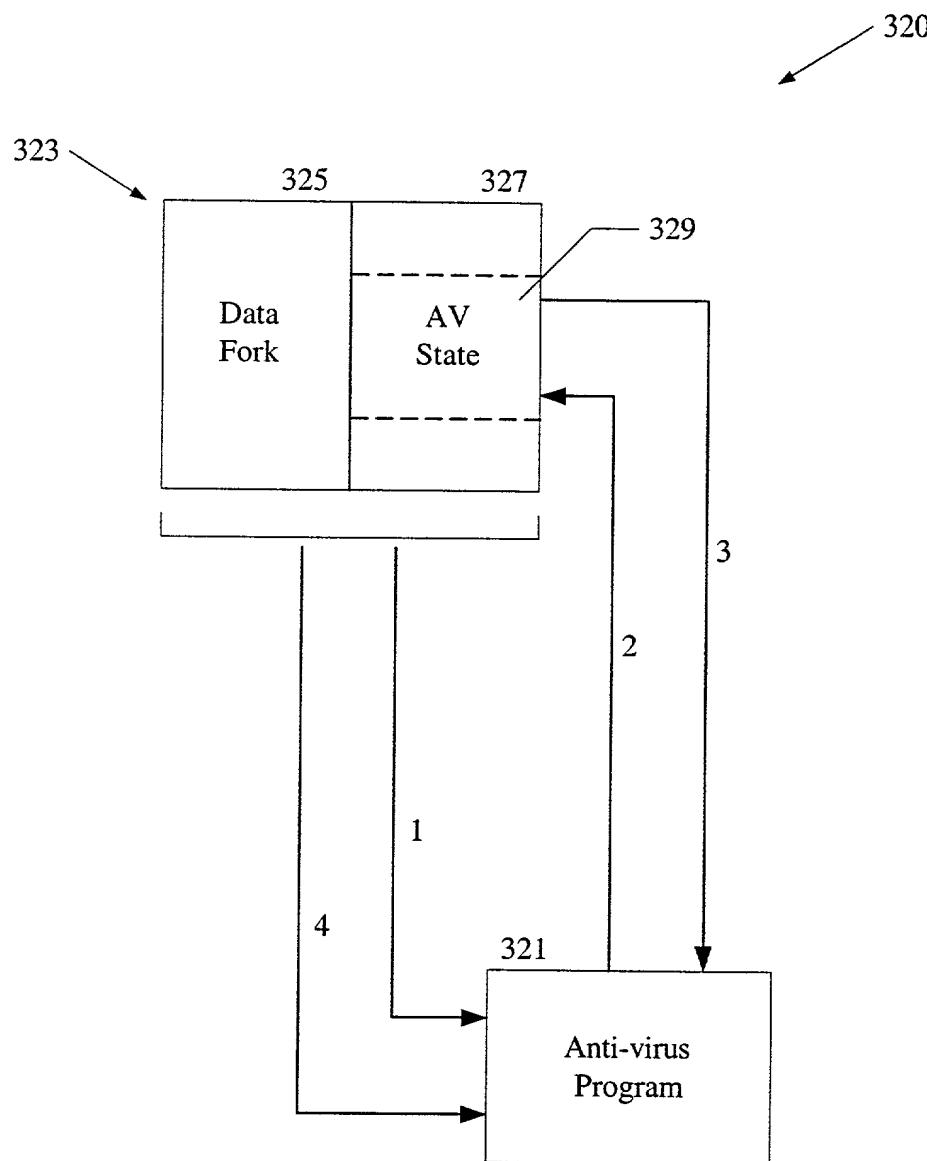


FIG. 3B

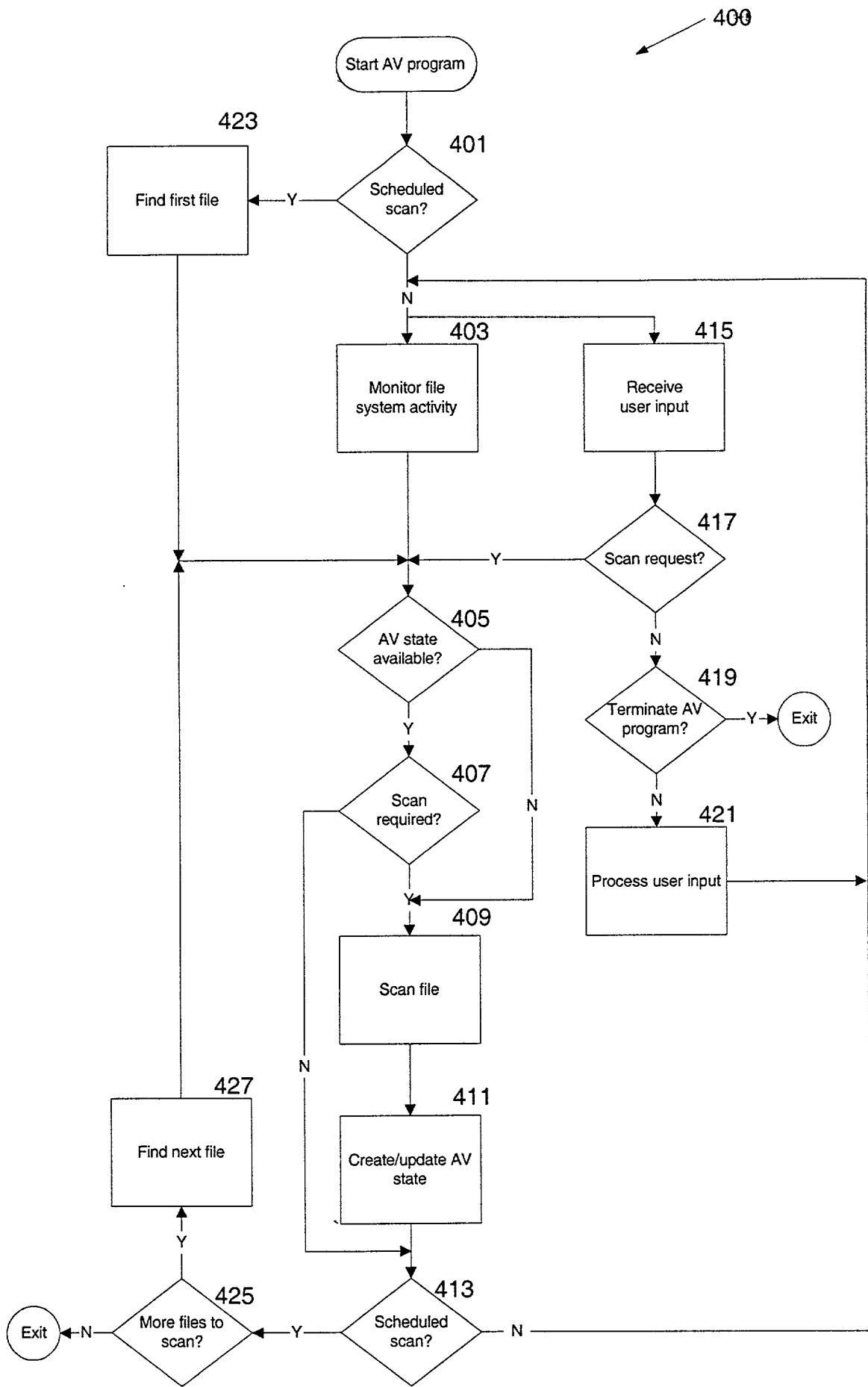


FIG. 4

500

501	Record Type
502	Flags
503	Checksum + 2 bytes of Resource Fork Length
504	File Identifier
505	Creation Date
506	Content Modification Date
507	Attribute Modification Date
508	Access Date
509	Backup Date
510	Permissions
511	User Information
512	Finder Information
513	Text Encoding
514	1 byte of Resource Fork Length + Data Fork Length
515	Data Fork Data
516	Resource Fork Data

FIG. 5A

520

521	Resource Header
524	Length of AV State Information
525	AV State Information
526	File Identifier
527	Resource Type List
528	Reference Lists

522

523

FIG. 5B